

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF NORTH CAROLINA  
CHARLOTTE DIVISION  
CIVIL ACTION NO. 3:12-CV-487-MOC-DSC**

DAVID HOLMES, et al., )  
Plaintiffs, )  
v. )  
BANK OF AMERICA, N.A., et al., )  
Defendants. )  
\_\_\_\_\_  
)

**PRETRIAL ORDER AND  
CASE MANAGEMENT PLAN**

IN ACCORDANCE WITH the Local Rules of the Western District of North Carolina and pursuant to Rule 16 of the Federal Rules of Civil Procedure, the Court enters the following Pretrial Order and Case Management Plan in this matter.

**DEADLINES AT A GLANCE**

<b>Class Certification Motion:</b>	<b>February 28, 2014</b>
<b>Defendants' Response to Class Certification:</b>	<b>April 15, 2014</b>
<b>Plaintiffs' Reply:</b>	<b>May 15, 2014</b>
<b>Fact Discovery Completion:</b>	<b>March 31, 2014</b>
<b>Expert Reports:</b>	<b>February 28, 2014 (Plaintiffs) April 15, 2014 (Defendants)</b>
<b>Expert Discovery Completion:</b>	<b>April 30, 2014</b>
<b>Mediation:</b>	<b>May 30, 2014</b>
<b>Dispositive Motions:</b>	<b>June 30, 2014</b>
<b>Trial Date:</b>	<b>October 6, 2014</b>

**I. DISCOVERY**

**A. DISCOVERY GUIDELINES:** Discovery in this case is limited as follows: Each party may propound no more than **twenty (20)** interrogatories, including subparts and no more than **twenty (20)** requests for admission. Maximum of **twenty (20)** depositions by

Plaintiffs of all Defendants combined, not including 30(b)(6) depositions and expert depositions, and 20 depositions by all Defendants combined, not including 30(b)(6) depositions and expert depositions. There is no limit on the number of document requests that may be served by each party. These limits do not apply to the jurisdictional discovery already authorized by the Court in this matter.

**B. RULE 26 DISCLOSURES:** The parties shall comply with the requirements of Rule 26(a) on or before **July 11, 2013**.

**C. RESPONSES TO INTERROGATORIES AND REQUESTS FOR ADMISSION:**  
Every response to an interrogatory or request for admission, and every objection thereto, shall be preceded by the original number and complete text of the corresponding interrogatory or request for admission.

**D. THE MAINTENANCE OF DISCOVERY MATERIALS:** Discovery materials are NOT to be filed. All counsel are advised to consult the local rule which provides that while depositions, interrogatories, and requests for admission, and responses thereto, must still be served on all parties, they are no longer to be filed unless upon order of the Court. The parties are responsible for the preservation of any and all discovery materials they may generate.

**E. VIDEO DEPOSITIONS:** If video depositions are taken and counsel intend to use them at trial, counsel are directed to resolve any objections and edit the video accordingly so that the video may be shown without interruption. Failure to do this prior to trial will result in objections being deemed to be waived.

**F. PROTECTIVE ORDERS:** Any objections made to discovery requests shall be accompanied by a draft proposed protective order if such order is, or will be, requested.

When counsel submit proposed protective orders, they shall include a provision leaving the ultimate disposition of protected materials subject to a final order of the Court on the completion of litigation.

**G. FACT DISCOVERY COMPLETION:** All fact discovery shall be complete no later than **March 31, 2014**. Supplementation per Rule 26(e) shall be due within **thirty (30) days** after obtaining applicable information. Counsel are directed to initiate discovery requests and notice or subpoena depositions sufficiently in advance of the discovery completion deadline so as to comply with this Order. Discovery requests that seek responses or schedule depositions after the discovery completion deadline are not enforceable except by order of the Court for good cause shown. The parties may consent to extensions of the discovery completion deadline so long as any such extension expires not later than ten (10) days prior to scheduled trial time. If a party requests an extension of time to respond to discovery requests or to extend the discovery deadline, the result of consultation with opposing counsel must be stated in the motion.

**H. EXPERT WITNESSES:** All expert discovery shall be complete no later than **April 30, 2014**. The Plaintiff shall provide reports from her expert witnesses pursuant to Rule 26(a)(2) by **February 28, 2014**. Defendant shall provide reports from its expert witnesses by **April 15, 2014**. Supplementation under Rule 26(e) or rebuttal expert reports due by **May 15, 2014**.

## **II. MOTIONS**

**A. CLASS CERTIFICATION MOTION DEADLINE:** The parties agree that any motion for class certification shall be filed no later than **February 28, 2014**. If Plaintiffs file a

motion for class certification, Defendants' responses shall be filed no later than **April 15, 2014**, and Plaintiff's reply brief, if any, shall be filed no later than **May 15, 2014**.

- B. DISPOSITIVE MOTIONS DEADLINE:** All motions except motions in limine and motions to continue shall be filed no later than **June 30, 2014**. Parties may not extend this deadline by agreement and stipulated extensions of the completion of discovery do not extend the Motions Deadline.
- C. MOTIONS HEARINGS:** Hearings on motions ordinarily will be conducted only when the Rules require a hearing, when the papers filed in support of and in opposition to the motion do not provide an adequate basis for decision, or at the request of a party and when the Court determines it would aid the decisional process. All motions requiring a hearing will be heard as soon as is practical. The Clerk will notify all parties as far in advance as possible of the date and time set for the hearing.
- D. MEMORANDA IN SUPPORT OF MOTIONS:** Every motion shall include, or be accompanied by, a brief written statement of the facts, a statement of the law, including citations of authority and the grounds on which the motion is based. Motions not in compliance with this Order are subject to summary denial.
- E. RESPONSES AND REPLIES:** Responses to motions, if any, must be filed within fourteen (14) days of the date on which the motion is filed. Replies to responses, if any, must be filed within seven (7) days of the date on which the response is filed. Pursuant to Rule 6(e) [of the Rules], when a party serves a motion or response by any manner, the respondent shall have an additional three (3) days to file a response or reply.

**F. ENLARGEMENT OF TIME:** If counsel need more than fourteen (14) days to file a response or seven (7) days to file a reply, a motion for extension of time shall be filed, accompanied by a proposed order. The moving party must show consultation with opposing counsel regarding the requested extension and must notify the Court of the views of opposing counsel on the request. If a party fails to make the requisite showing, the Court may summarily deny the request for extension.

**G. MOTIONS TO COMPEL:** A motion to compel must include a statement by the movant that the parties have conferred in good faith in an attempt to resolve the dispute and are unable to do so. Consistent with the spirit, purpose, and explicit directives of the Federal Rules of Civil Procedure and the Local Rules of the Western District of North Carolina, the Court expects all parties (and counsel) to attempt in good faith to resolve discovery disputes without the necessity of Court intervention. Failure to do so may result in appropriate sanctions.

### **III. TRIAL**

**A. TRIAL DATE.** Trial **with a jury** is scheduled for the civil term beginning on **October 6, 2014**. The parties estimate that the total time needed for trial will be approximately ten (10) days.

**B. TRIAL SUBPOENAS:** Counsel must subpoena all witnesses at least fourteen (14) days before the trial date. The Court may elect not to enforce subpoenas that have not been issued in compliance with this deadline or, if requested, may quash subpoenas that have not been issued in compliance with this deadline.

**C. COUNSEL'S DUTIES PRIOR TO TRIAL:** At least two full weeks before the trial date, counsel for all parties shall:

- (a) Discuss the possibility of a settlement;
- (b) Exchange copies of exhibits or permit inspection if copying is impractical;
- (c) Number and become acquainted with all exhibits; and
- (d) Agree upon the issues, reduce them to writing, and file them with the Court.

If counsel cannot agree upon the issues, each party is directed to write his/her own version and file it with the Court.

- (e) Agree upon stipulations of fact and file them with the Court. The parties are encouraged to stipulate to as many facts as possible to facilitate the trial of the case.

**D. COUNSEL'S FILINGS ONE WEEK BEFORE TRIAL:** At least one full week

before the trial date, counsel for each party shall file with the Clerk of Court each of the following:

- (a) A trial brief addressing all questions of law and any anticipated evidentiary issues;
- (b) In all non-jury cases, proposed findings of fact and conclusions of law;
- (c) Proposed jury instructions, as described below;
- (d) Requested questions for voir dire; and
- (e) Deposition testimony a party will offer as evidence at trial, as described below.

**E. PROPOSED JURY INSTRUCTIONS:** If a jury trial has been requested, all counsel shall submit proposed jury instructions no later than one week before the trial date. Additional instructions may be submitted during the trial as circumstances may require. Counsel should number each proposed instruction and shall submit each proposed instruction on a separate page. Each proposed instruction must contain a supporting citation(s) as a footnote. A proposed instruction without a supporting citation may not be considered.

**F. JURY VOIR DIRE:** The Court will conduct initial, general voir dire of the venire. If there are sensitive questions that need to be asked by the Court, counsel should submit those to the Court before voir dire begins. After the Court conducts its initial questioning, the venire will be turned over to counsel who may conduct voir dire in a manner similar to that used in the North Carolina trial courts. However, such opportunity is for gleaning information from the panel as a whole, not establishing rapport with individual jurors.

**G. DEPOSITION TESTIMONY:** If a party will offer deposition testimony as evidence at trial, the parties are to prepare the following and submit it to the Clerk of Court at least one full week before the trial date:

- (a) The party originally offering the testimony shall highlight in yellow all portions of the deposition testimony it will seek to have admitted;
- (b) That party shall then provide the highlighted copy to the opposing party;
- (c) The opposing party shall highlight in a different color all portions of the deposition testimony it will seek to have admitted;

- (d) The opposing party shall then list briefly in the margins, directly adjacent to the relevant testimony, any objections it has to that testimony (e.g., 401, hearsay);
- (e) The opposing party shall then return the document to the party originally offering the testimony, who will list objections to the opposing party's highlighted portion;
- (f) The party originally offering the testimony shall then file the document with the Clerk of Court.

**H. COUNSEL'S FILINGS ON THE FIRST DAY OF TRIAL:** No later than the morning of the first day of trial, counsel for each party shall file with the Clerk of Court an original and four (4) copies of the following:

- (a) A witness list containing the name of every proposed witness;
- (b) A statement of the education, experience, and qualifications of each expert witness, unless the parties have stipulated to the qualifications of each expert witness;
- (c) Stipulations concerning the authenticity of as many proposed exhibits as possible; and
- (d) An exhibit list.

**I. EXHIBITS:** Parties are expected to use presentation technology available in the courtroom to display evidence to the jury. Training on the equipment should be arranged well in advance of trial with the courtroom deputy. See "Courtroom Technology" link on the district website at [www.ncwd.uscourts.gov](http://www.ncwd.uscourts.gov). Counsel shall provide in electronic format

any exhibits of documents, photographs, videos, and any other evidence that may be reduced to an electronic file, for the use of Court personnel and the Court's Jury Evidence Recording System (JERS) during trial. Documents and photographs shall be in **.pdf**, **.jpg**, **.bmp**, **.tif**, or **.gif** format; video and audio recordings shall be in **.avi**, **.wmv**, **.mpg**, **.mp3**, **.wma**, or **.wav** format. Each electronic exhibit shall be saved as a separate, independent file, and provided to the Court on a storage device, such as cd, dvd, or flash drive. Exhibit files shall be named consistent with their order and name on the exhibit list. For example:

Exhibit 1 - photograph of . . .

Exhibit 2(a) - contract

Exhibit 2(b) - video deposition of . . .

**J. FORMAT FOR EXHIBIT LIST:** In preparing the exhibit list, counsel shall separately identify and number each exhibit, shall arrange the list numerically by exhibit number, and shall place the following headings on the exhibit list:

<u>Exhibit #</u>	<u>Description</u>	<u>Identified by</u>	<u>Admitted</u>
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It is not necessary for counsel to make entries in either the "Identified by" column or the "Admitted" column. Counsel shall also provide an electronic copy of the exhibit list with the electronic exhibit files.

**K. ASSESSMENT OF JURY COSTS:** Whenever a civil action scheduled for a jury trial is settled or otherwise disposed of in advance of the actual trial, the Court may assess all jurors' costs, including Marshal's fees, mileage reimbursement, and per diem fees, equally against the parties or otherwise may determine appropriate assessments unless the

Clerk's Office is notified at least one (1) full business day prior to the date on which the action is scheduled for trial or the parties establish good cause why the Court should not assess jury costs against the parties. When any civil jury trial is settled at trial in advance of the verdict, the Court likewise may make the same assessments unless the parties establish good cause why the Court should not do so.

#### **IV. ADR**

- A. METHOD:** The method of ADR to be utilized in this case is **Mediation**.
- B. DEADLINE:** The timing for completing ADR and filing a report on the results is in the parties' discretion but shall be completed no later than **May 30, 2014**.

#### **V. SANCTIONS FOR FAILURE TO COMPLY WITH THE PRETRIAL ORDER**

Failure to comply with any of the provisions of this Order which causes added delay or expense to the Court may result in the imposition of sanctions as provided by the Federal Rules of Civil Procedure.

#### **SO ORDERED.**

Signed: July 26, 2013

  
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David S. Cayer  
United States Magistrate Judge 